Equal-time Kinetic Theory and Quark Spin in Electromagnetic Fields

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- 1. Equal-time Transport
- 2. NJL Model in Electromagnetic Fields
- 3. Classical Wigner function
- 4. Spin Induced $U_A(1)$ symmetry Breaking
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Covariant and Equal-time Wigner Operators

1. Classical transport

$$\frac{\partial f}{\partial t} + \vec{v} \cdot \vec{\nabla} f = C$$

+ on-shell condition $(p^2 - m^2)f = 0 \rightarrow p_0 = E = \sqrt{\vec{p}^2 + m^2}$ in f

2. Covariant Wigner operator

$$\widehat{W}(x,p) = \int d^4 y e^{ipy} \widehat{\psi}(x+\frac{y}{2}) e^{iQ \int_{-1/2}^{1/2} ds \widehat{A}(x+sy) \cdot y} \widehat{\psi}(x-\frac{y}{2})$$

The kinetic equation for W(x, p) is obtained from the field equations. Advantage: the kinetic equation is equivalent to the field equations. Disadvantage: the initial Wigner function can not be obtained from the initial fields.

3. Equal-time Wigner operator

$$\widehat{W}(x,\vec{p}) = \int d^{3}\vec{y}e^{-i\vec{p}\cdot\vec{y}}\widehat{\psi}(t,\vec{x}+\frac{\vec{y}}{2})e^{-iQ\int_{-1/2}^{1/2} ds\widehat{A}(\vec{x}+s\vec{y})\cdot\vec{y}}\widehat{\psi}^{+}(t,\vec{x}-\frac{\vec{y}}{2})$$

Advantage: a well defined initial problem

Question: how to derive a complete kinetic equation for $W(x, \vec{p})$?

Equal-time Kinetic Theory

Covariant kinetic theory for QED:

D.Vasak, M.Gyulassy and H.Elze, Ann.Phys.173, 462(1987) recent application to CME: J.Chen, S.Pu, Q.Wang, X.Wang, PRL110,262301(2013)

Equal-time transport for QED:

I.Bialynicki-Birula, P.Gornicki and J.Rafelski, Phys.Rev.D44, 1825(1991) recent application to CME: A.Huang, Y.Jiang, S.Shi, J.Liao, P.Zhuang, arXiV:1703.08856

A Complete Equal-time kinetic theory (transport + constraint): P.Zhuang and U.Heinz, Ann.Phys.245, 311(1996) P.Zhuang and U.Heinz, Phys.Rev.D53, 2096(1996) P.Zhuang and U.Heinz, Phys.Rev.D57, 6525(1998)

Steps to derive a complete equal-time kinetic theory:

1) Covariant kinetic equation for W(x, p), obtained from field equations,

2) $W(x,\vec{p}) = \int dp_0 W(x,p)\gamma_0$

 p_0 -integrating the covariant equation for $W(x, p) \rightarrow$ a complete equal-time theory for $W(x, \vec{p})$: transport and constraint (off-shell) equations for $W(x, \vec{p})$, the latter is often missed in many calculations.

Spontaneous QCD Symmetry Breaking



What we want to do here: a kinetic description of chiral and $U_A(1)$ symmetry in equal-time transport frame.

Covariant Kinetic Equations in NJL

NJL model describes well the spontaneous symmetry breaking of QCD, since it is motivated from the successful BCS theory. The SU(2) NJL model:

$$\mathcal{L} = \bar{\psi} (i\gamma^{\mu} \mathcal{D}_{\mu} - m_0) \psi + G[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5 \vec{\tau}_3 \psi)^2] \mathcal{D}_{\mu} = \partial_{\mu} + i(q + \Delta q\tau_3) A_{\mu}, \quad q = (q_u + q_d)/2, \quad \Delta q = (q_u - q_d)/2$$

2 order parameters for chiral and $U_A(1)$ symmetry breaking

$$\sigma(x) = 2G\langle \bar{\psi}\psi \rangle, \qquad \pi(x) = 2G\langle \bar{\psi}i\gamma_5\tau_3\psi \rangle$$

in mean field approximation

$$\mathcal{L}_{MF} = \bar{\psi} \left(i \gamma^{\mu} \mathcal{D}_{\mu} - (m_0 - \sigma) + i \gamma_5 \tau_3 \pi \right) \psi - \frac{1}{4G} \left[\sigma^2 + \pi^2 \right]$$

Dirac equation

$$(i\gamma^{\mu}\mathcal{D}_{\mu} - (m_0 - \sigma) + i\gamma_5\tau_3\pi)\psi = 0$$

Kinetic equation for the Wigner function in external EM fields

$$W(x,p) = \int d^4 y e^{ip \cdot y} e^{i(q + \Delta q\tau_3) \int_{-1/2}^{1/2} ds A(x + sy) \cdot y} \left\{ \psi(x + \frac{y}{2}) \overline{\psi}(x - \frac{y}{2}) \right\}$$

 $(\gamma^{\mu}D_{\mu} + \gamma^{5}\Pi_{5} + \Sigma)W = 0$ (real and imaginary parts)

with electromagnetic operator D_{μ} , scalar operator Σ , and pseudoscalar operator Π_5 .

Spin and Isospin Decomposition

Spin decomposition for W(x, p)

$$W(x,p) = \frac{1}{4} (F + i\gamma_5 P + \gamma_\mu V^\mu + \gamma_\mu \gamma_5 A^\mu + \frac{1}{2} \sigma_{\mu\nu} S^{\mu\nu})$$

 \rightarrow 16 transport + 16 constraint (off-shell) equations.

Spin decomposition for $W(x, \vec{p})$

$$W(x,\vec{p}) = \int dp_0 W(x,p) \gamma_0 = \frac{1}{4} \Big[f_0 + \gamma_5 f_1 - i\gamma_0 \gamma_5 f_2 + \gamma_0 f_3 + \gamma_5 \gamma_0 \vec{\gamma} \cdot \vec{g}_0 + \gamma_0 \vec{\gamma} \cdot \vec{g}_1 - i\vec{\gamma} \cdot \vec{g}_2 - \gamma_5 \vec{\gamma} \cdot \vec{g}_3 \Big]$$

Isospin decomposition

$$f_{i} = \frac{1}{2} \Big[f_{i0} + \tau_{1} f_{i1} + \tau_{2} f_{i2} + \tau_{3} f_{i3} \Big],$$

$$\vec{g}_{i} = \frac{1}{2} \Big[\vec{g}_{i0} + \tau_{1} \vec{g}_{i1} + \tau_{2} \vec{g}_{i2} + \tau_{3} \vec{g}_{i3} \Big]$$

Condensates in terms of the spin and isospin components

$$\sigma(x) = 2G \int \frac{d^3 \vec{p}}{(2\pi)^3} f_{30}(x, \vec{p}), \qquad \pi(x) = 2G \int \frac{d^3 \vec{p}}{(2\pi)^3} f_{23}(x, \vec{p})$$

Transport and Constraint Equations

 p_0 -integrating the equations for the covariant components gives a group of transport equations and a group of constraint equations.

For constant EM fields,

 $\hbar(D_{1t}f_{0+} + \vec{D}_1 \cdot \vec{q}_{1+} + D_{2t}f_{0\pm} + \vec{D}_2 \cdot \vec{q}_{1\pm}) = -2\sigma_o f_{3\pm} - 2\pi_o f_{2\pm}$ $\hbar (D_{1t}f_{1+} + D_1 \cdot \vec{g}_{0+} + D_{2t}f_{1\mp} + D_2 \cdot \vec{g}_{0\mp}) = -2\sigma_e f_{2\pm} + 2\pi_e f_{3\mp}$ $\hbar D_{1t} f_{2+} + \hbar D_{2t} f_{2\pm} + 2\vec{p} \cdot \vec{q}_{3+} = 2\sigma_e f_{1+} + 2\pi_o f_{0\pm}$ $\hbar D_{1t} f_{3+} + \hbar D_{2t} f_{3\pm} - 2\vec{p} \cdot \vec{q}_{2+} = -2\sigma_o f_{0+} - 2\pi_e f_{1\pm}$ $\hbar (\mathbf{D}_{1t}\vec{g}_{0+} + \vec{\mathbf{D}}_1f_{1+} + \mathbf{D}_{2t}\vec{g}_{0\mp} + \vec{\mathbf{D}}_2f_{1\mp}) - 2\vec{p} \times \vec{g}_{1\pm} = -2\sigma_o\vec{g}_{3\pm} + 2\pi_o\vec{g}_{2\mp}$ $\hbar (D_{1t}\vec{q}_{1+} + \vec{D}_1 f_{0+} + D_{2t}\vec{q}_{1\pm} + \vec{D}_2 f_{0\pm}) - 2\vec{p} \times \vec{q}_{0+} = -2\sigma_e \vec{q}_{2+} + 2\pi_e \vec{q}_{3\pm}$ $\hbar (\mathbf{D}_{1t}\vec{g}_{2\pm} + \vec{\mathbf{D}}_1 \times \vec{g}_{3\pm} + \mathbf{D}_{2t}\vec{g}_{2\mp} + \vec{\mathbf{D}}_2 \times \vec{g}_{3\mp}) + 2\vec{p}f_{3\pm} = 2\sigma_e \vec{g}_{1\pm} + 2\pi_o \vec{g}_{0\mp}$ $\hbar (D_{1t}\vec{g}_{3\pm} + \vec{D}_1 \times \vec{g}_{2\pm} + D_{2t}\vec{g}_{3\mp} + \vec{D}_2 \times \vec{g}_{2\mp}) - 2\vec{p}f_{2\pm} = -2\sigma_o \vec{g}_{0\pm} - 2\pi_e \vec{g}_{1\mp}$ $D_t = \partial_t + (\bar{q} + \Delta q \tau_3) \vec{E} \cdot \vec{\nabla}_n$ $\vec{\mathbf{D}} = \vec{\nabla} + (\bar{q} + \Delta q \tau_3) \vec{B} \times \vec{\nabla}_n$ $\sigma_e = m_0 - \cos(\frac{\hbar}{2} \vec{\nabla} \cdot \vec{\nabla}_p) \sigma(x)$ dp_{0} $\sigma_o = \sin(\frac{\hbar}{2}\vec{\nabla}\cdot\vec{\nabla}_p)\sigma(x)$ $\pi_e = \cos(\frac{\hbar}{2}\vec{\nabla}\cdot\vec{\nabla}_p)\pi(x)$ $\pi_o = \sin(\frac{\hbar}{2}\vec{\nabla}\cdot\vec{\nabla}_p)\pi(x)$

$$\begin{split} \int \mathrm{d}p_0 p_0 F_{\pm} &- \frac{\hbar}{2} (\vec{D}_1 \cdot \vec{g}_{2\pm} + \vec{D}_2 \cdot \vec{g}_{2\mp}) = \pi_o f_{1\mp} + \sigma_e f_{0\pm} \\ \int \mathrm{d}p_0 p_0 P_{\pm} &- \frac{\hbar}{2} (\vec{D}_1 \cdot \vec{g}_{3\pm} + \vec{D}_2 \cdot \vec{g}_{3\mp}) = \pi_e f_{0\mp} + \sigma_o f_{1\pm} \\ &\int \mathrm{d}p_0 p_0 V_{0\pm} - \vec{p} \cdot \vec{g}_{1\pm} = \pi_e f_{2\mp} + \sigma_e f_{3\pm} \\ &\int \mathrm{d}p_0 p_0 A_{0\pm} + \vec{p} \cdot \vec{g}_{0\pm} = \pi_o f_{3\mp} + \sigma_o f_{2\pm} \end{split}$$

explicit ħ dependence !

Classical (Mean Field) Limit

 $\hbar \rightarrow 0$ in transport equations

$$f_{1\pm} = \frac{p \cdot g_{3\pm}}{m_0 - \sigma}, \quad f_{2\pm} = \frac{\pi}{m_0 - \sigma} f_{3\mp}, \quad \vec{g}_{1\pm} = \frac{p}{m_0 - \sigma} f_{3\pm}, \quad \vec{g}_{2\pm} = \frac{p \times g_{0\pm} + \pi g_{3\mp}}{m_0 - \sigma}$$
$$f_0, f_3, \vec{g}_0, \vec{g}_3 \text{ are independent components}$$
$$\hbar \to 0 \text{ in both transport and constraint equations}$$

$$W(x,p) = W(x,\vec{p})\delta(p_{0} - E_{p})$$

$$f_{1\pm} = \frac{\vec{p} \cdot \vec{g}_{0\pm}}{E_{p}}, \quad f_{2\pm} = \frac{\pi}{E_{p}}f_{0\mp}, \quad f_{3\pm} = \frac{m_{0} - \sigma}{E_{p}}f_{0\pm}, \quad \vec{g}_{1\pm} = \frac{\vec{p}}{E_{p}}f_{0\pm},$$

$$\vec{g}_{2\pm} = \frac{\vec{p} \times \vec{g}_{0\pm} + \pi \vec{g}_{3\mp}}{m_{0} - \sigma}, \quad \vec{g}_{3\pm} = \frac{E_{p}^{2}(m_{0} - \sigma)\vec{g}_{0\pm} - (m_{0} - \sigma)(\vec{p} \cdot \vec{g}_{0\pm})\vec{p} - E_{p}\pi \vec{p} \times \vec{g}_{0\pm}}{E_{p}m^{2}},$$

$$m^{2} = (m_{0} - \sigma)^{2} + \pi^{2}, \quad E_{p}^{2} = m^{2} + \vec{p}^{2} \quad \text{(automatic on-shell condition !)}$$

$$enly f_{1} = \vec{q} \quad env \text{ independent components}$$

only f_0, g_0 are independent components

Physics of the classical components (from conservation rules):

 $\begin{aligned} f_0: \text{ charge (number) density,} & \vec{g}_1 = \vec{v} f_0: \text{ charge (number) current,} \\ \vec{g}_0: \text{ spin density } (\vec{J} = \vec{r} \times \vec{p} f_0 + \frac{\hbar}{2} \vec{g}_0), \quad f_1 = \vec{v} \cdot \vec{g}_0: \text{ helicity density} \\ f_3: \text{ mass density } (\sigma \sim \int d^3 \vec{p} f_3), \qquad f_2: \text{ pion condensate } (\pi \sim \int d^3 \vec{p} f_2), \\ \vec{g}_3: \text{ magnetic moment density,} \qquad \vec{g}_2: \end{aligned}$

Classical Condensates

Momentum-integrating the components f_3 and f_2 , gap equations for the order parameters $\sigma(x)$ and $\pi(x)$,

$$(m_{0} - \sigma) \left(1 + 2G \int \frac{d^{3}\vec{p}}{(2\pi)^{3}} \frac{f_{0+}(x,\vec{p})}{E_{p}} \right) - m_{0} = 0$$
$$\pi \left(1 + 2G \int \frac{d^{3}\vec{p}}{(2\pi)^{3}} \frac{f_{0+}(x,\vec{p})}{E_{p}} \right) = 0$$

1) For $m_0 \neq 0$,

$$\pi \equiv 0$$
, no $U_A(1)$ breaking in classical (mean field) limit,

$$(m_0 - \sigma) \left(1 + 2G \int \frac{d^3 \vec{p}}{(2\pi)^3} \frac{f_{0+}(x, \vec{p})}{E_p} \right) - m_0 = 0 \quad \rightarrow \quad \sigma$$

2) For $m_0 = 0$, σ and π cannot be separately determined,

$$1 + 2G \int \frac{d^3 \vec{p}}{(2\pi)^3} \frac{f_{0+}(x, \vec{p})}{E_p} = 0 \qquad \to \qquad m^2 = (m_0 - \sigma)^2 + \pi^2$$

Independent Charge and Spin Density

The classical transport equations are from the transport equations to the 1st order in \hbar :

$$\begin{split} D_{t}f_{0\pm} &+ \vec{D} \cdot \vec{g}_{1\pm} = \left(\vec{\nabla}m \cdot \vec{\nabla}_{p}\right)f_{3\pm} \\ D_{t}f_{1\pm} &+ \vec{D} \cdot \vec{g}_{0\pm} = -2mf_{2\pm}^{(1)} + 2\pi^{(1)}f_{3\mp} \\ D_{t}f_{2\pm} &+ 2\vec{p} \cdot \vec{g}_{3\pm}^{(1)} = 2mf_{1\pm}^{(1)} - 2\sigma^{(1)}f_{1\pm} \\ D_{t}f_{3\pm} &- 2\vec{p} \cdot \vec{g}_{2\pm}^{(1)} = \left(\vec{\nabla}m \cdot \vec{\nabla}_{p}\right)f_{0\pm} - 2\pi^{(1)}f_{1\mp} \\ D_{t}\vec{g}_{0\pm} &+ \vec{D}f_{1\pm} - 2\vec{p} \times \vec{g}_{1\pm}^{(1)} = \left(\vec{\nabla}m \cdot \vec{\nabla}_{p}\right)\vec{g}_{3\pm} \\ D_{t}\vec{g}_{1\pm} &+ \vec{D}f_{0\pm} - 2\vec{p} \times \vec{g}_{0\pm}^{(1)} = -2m\vec{g}_{2\pm}^{(1)} + 2\sigma^{(1)}\vec{g}_{2\pm} + 2\pi^{(1)}\vec{g}_{3\mp} \\ D_{t}\vec{g}_{2\pm} &+ \vec{D} \times \vec{g}_{3\pm} + 2\vec{p}f_{3\pm}^{(1)} = 2m\vec{g}_{1\pm}^{(1)} - 2\sigma^{(1)}\vec{g}_{1\pm} \\ D_{t}\vec{g}_{3\pm} &- \vec{D} \times \vec{g}_{2\pm} - 2\vec{p}f_{2\pm}^{(1)} = \left(\vec{\nabla}m \cdot \vec{\nabla}_{p}\right)\vec{g}_{0\pm} - 2\pi^{(1)}\vec{g}_{1\mp} \end{split}$$

From the 1st, 2nd and 8th transport equations and considering the relations among the classical components,

$$\begin{pmatrix} D_t + \vec{v} \cdot \vec{D} \end{pmatrix} f_{0\pm} - \frac{\vec{\nabla} m^2 \cdot \vec{\nabla}_p}{2E_p} f_{0\pm} = 0, \qquad \vec{v} = \frac{\vec{p}}{E_p}$$

$$\begin{pmatrix} D_t + \vec{v} \cdot \vec{D} \end{pmatrix} \vec{g}_{0\pm} - \frac{\vec{\nabla} m^2 \cdot \vec{\nabla}_p}{2E_p} \vec{g}_{0\pm} = \frac{e}{E_p^2} \Big[\vec{p} \times \left(\vec{E} \times \vec{g}_{0\pm} \right) - E_p \vec{B} \times \vec{g}_{0\pm} \Big]$$

$$- \frac{1}{2E_p^4} \Big[\partial_t m^2 \vec{p} - E_p \vec{\nabla} m^2 \Big] \times \left(\vec{p} \times \vec{g}_0 \right) \Big]$$

charge density is conserved, but spin density not, it changes in EM fields. Pengfei Zhuang, AMPT Workshop, 20170725-27, Sichuan University

Homogeneous Solution

2 Transport equations in homogeneous case

$$\left(\vec{E} + \vec{v} \times \vec{B} \right) \cdot \vec{\nabla}_p f_0 = 0, \qquad \vec{v} = \frac{p}{E_p}$$

$$\left(\vec{E} + \vec{v} \times \vec{B} \right) \cdot \vec{\nabla}_p \vec{g}_0 = \frac{e}{E_p^2} \left[\vec{p} \times \left(\vec{E} \times \vec{g}_0 \right) - E_p \vec{B} \times \vec{g}_0 \right]$$

with solution

$$\vec{g}_{0} = \frac{q_{i}}{m^{2}} \left[\vec{v} \times \vec{E} + \vec{B} \right]$$

$$f_{0} = \begin{cases} \frac{q_{i}}{m^{6}} \left(\vec{B} \times \vec{p} \right)^{2} & \text{for } \vec{E} \parallel \vec{B} \\ \frac{q_{i}}{m^{3}} \vec{B} \cdot \vec{p} & \text{for } \vec{E} \perp \vec{B} \end{cases}$$

<u>Quantum Correction $\pi^{(1)}$ </u>

Momentum-integrating the 2nd transport equation

$$D_t f_{1-} + \vec{D} \cdot \vec{g}_{0-} = -2mf_{2-}^{(1)} + 2\pi^{(1)}f_{3+}$$

and considering the relations among the classical components, the first order quantum correction to the pion condensate

$$\pi^{(1)}(x) = \frac{G}{m_0} \int \frac{d^3 \vec{p}}{(2\pi)^3} \left[D_t f_{1-} + \vec{D} \cdot \vec{g}_{0-} \right]$$

In homogeneous case,

$$\begin{aligned} \pi^{(1)}(x,\vec{p}) &= -\frac{G}{2m_0} \left(q_u^2 - q_d^2 \right) \left(\frac{\left(\vec{p} \cdot \vec{E} \right) \left(\vec{p} \cdot \vec{B} \right)}{m^2 E_p^3} + \frac{1}{E_p} \left(\frac{2}{m^2} + \frac{1}{E_p^2} \right) \vec{E} \cdot \vec{B} \right) \\ \pi^{(1)}(x) &= \int \frac{d^3 \vec{p}}{\left(2\pi \right)^3} \, \pi^{(1)}(x,\vec{p}) \\ &= -\frac{G \vec{E} \cdot \vec{B}}{8m_0 \pi^2} (q_u^2 - q_d^2) \left[\frac{\Lambda}{\sqrt{\Lambda^2 + m^2}} (1 + \frac{7\Lambda^2}{3m^2}) + \ln \frac{m}{\Lambda + \sqrt{\Lambda^2 + m^2}} \right. \end{aligned}$$

U_A(1) symmetry is broken due to quark spin interaction in EM field,
 only in the case *E* · *B* ≠ 0.

Full Solution

Solving the full transport equations, including off-shell effect.

Considering heavy ion collisions, where the EM fields exist only in the very initial stage and can be neglected in the later evolution. Taking into account only time dependence,

$$\begin{array}{l} \partial_{i}f_{0} = 0 \\ \partial_{i}f_{1} + 2(m_{0} - \sigma)f_{2} - 2\pi f_{3} = 0 \\ \partial_{i}f_{2} + 2\vec{p}\cdot\vec{g}_{3} - 2(m_{0} - \sigma)f_{1} = 0 \\ \partial_{i}f_{3} - 2\vec{p}\cdot\vec{g}_{2} + 2\pi f_{1} = 0 \\ \partial_{i}\vec{g}_{0} - 2\vec{p}\times\vec{g}_{1} = 0 \\ \partial_{i}\vec{g}_{1} - 2\vec{p}\times\vec{g}_{0} + 2(m_{0} - \sigma)\vec{g}_{2} - 2\pi\vec{g}_{3} = 0 \\ \partial_{i}\vec{g}_{2} + 2\vec{p}f_{3} - 2(m_{0} - \sigma)\vec{g}_{1} = 0 \\ \partial_{i}\vec{g}_{3} - 2\vec{p}f_{2} + 2\pi\vec{g}_{1} = 0 \\ + \text{ condensates} \\ \sigma(t) = \frac{G}{\pi^{2}}\int dp \ p^{2}f_{3}(t, p), \qquad \pi(t) = \frac{G}{\pi^{2}}\int dp \ p^{2}f_{2}(t, p) \end{array}$$

starting with the initial condition with EM fields

Summary and Outlook

- 1) Quark transport is controlled by 16 components in general case, due to the off-shell effect. Even in classical limit, it is controlled by the charge density and spin density.
- 2) QCD chirality is closely related to the quark spin interaction with the external electromagnetic fields. We considered here the $U_A(1)$ symmetry breaking as an example. Other calculations, for instance CME, CVE and pair production, are under progress.
- 3) Equal-time kinetic theory for QCD chirality can be solved as an initial problem and then applied to high energy nuclear collisions at RHIC and LHC.